

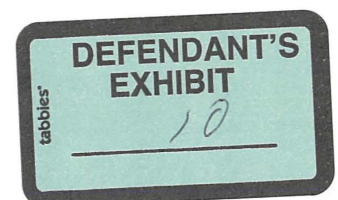
Table 1. Timeline Regarding BJP's Data Access Requests for NIH / DbGaP / TCP data (DARs verifiable at: <https://www.ncbi.nlm.nih.gov/gap/>).

Date	Event	Application Title	Research Topics ¹	Renew	Closeout	Notes
4/12/18	Applied for #18007	<i>Sex differences in cortical volume and g in a large adolescent...</i>	<i>Sex differences in: [1] intelligence and [2] brain morphology and volume</i>	n/a	6/25/19	A
7/15/18	Applied for #19090	<i>Using admixture analysis to predict psychological disorders...</i>	<i>Race; mental disorders / cognitive disorders; cross-ethnic validity</i>	8/29/19	8/31/20	B, C
9/19/18	Applied for #19747 ²	<i>Effect of score construction method on transracial validity...</i>	<i>Race; educational attainment / intelligence; schizophrenia</i>	12/3/19	2/6/21	B, C
8/30/19	The Lasker et al. paper is officially published in the academic journal, <i>Psych</i> . Note: When renewing #19090, BJP did not know that Lasker et al. would be "officially published" the next day. So, he didn't report it here as being "officially published."					—
9/19/19	Based on Bird et al.'s complaint letter, NIH emails BJP demanding that he address numerous allegations regarding potential "data-use agreement" and "ethical" violations with NIH.					—
9/20/19	BJP emails NIH rebutting all allegations from 9/19/19. Note: Despite "the urgency," NIH fails to respond to BJP's email here until 5/27/21 (fully 615 days later). Yet both NIH / CSU continue to approve BJP's future requests to further access (for a new project) or renew the TCP data.					B
8/31/20	BJP reports the "preprint" article (i.e., "Intelligence-associated Polygenic Scores Predict g...") when closing #19090.					C
7/26/21	CSU officially charges BJP with academic research misconduct.					—
3/4/22	CSU terminates BJP's employment.					—

¹ The topics listed here come directly from BJP's three data-request applications. All three of these applications were requests to use the same dataset (Trajectories of Complex Phenotypes; "TCP"), but for different research topics, as per NIH guidelines.

² CSU / NIH both approve (and then renew) this application (#19747). It very clearly features intelligence and race:

We will focus on two traits: Educational attainment and **intelligence**. The study will be a correlational analysis of the statistical association between various PGSs and **cognitive ability**. We will conduct analyses separately in White and African American samples. For these analyses, we will need **cognitive data** (all available) to create **general and broad ability indexes...**"



NOTES

A. The study of potential sex differences in average IQ is also very controversial. Nonetheless, both NIH and CSU approved application #18007 here. It clearly focuses on sex differences in IQ. Thus, #18007 and #19747 (on race and IQ) clearly illustrate that BJP's intent to study these "taboo" topics was made explicit in his applications to access the TCP data. Below are excerpts from BJP's application #18007:

Between sexes...debate exists as to whether there are more than trivial differences in **general cognitive ability**. Recently [other researchers] found that whole brain volume correlated with **general intelligence (g)** in both males and females [and] that **males had a small advantage in g**...results supported the view of **innate sex differences in g**...

[My] study will [look at] the statistical effects of whole and regional brain volume on **general and broad cognitive differences within and between sexes**. I will construct **broad and general ability indexes** through factor-analyzing the available **cognitive test scores**...For this analysis, I will need...**cognitive data** (all available) to create **general and broad ability indexes**...

B. Provost Bloomberg's 1/13/22 disciplinary letter to BJP stated:

... your current access to dbGaP has been revoked and you have been banned from accessing any NIH controlled access datasets for a period of three years. This is the most serious and longest ban NIH has ever issued on a controlled access dataset.

NIH formally complained to BJP on 9/19/19. Yet both NIH and CSU continued to approve BJP's future requests to use restricted data. These requests included BJP's renewal of #19090 (9/23/19), renewal of #19747 (2/20/20), and applications for new projects #24213 (2/20/20) and #26271 (7/24/20).

C. One year after accessing NIH data, users must either "renew" them for another year or "close them out" permanently. Either way, users must report to NIH any new "intellectual property" (e.g., a new publication) resulting from data usage over the past year. Reporting requirements differ, however, depending on whether one is renewing versus closing out the data. When renewing, one must report just this year's "officially published" articles; whereas, when closing out, one must report "everything" (e.g., all officially published articles, preprints, conference presentations, etc.).

On 8/31/20, BJP closed out application #19090 and thus appropriately reported "everything" by listing the preprint article in the closeout. The preprint was a replication of the Lasker et al. paper, but it focused on Hispanic versus African Americans. As such, the preprint's "topic" was a better fit for application #19747 (on race / IQ) than for #19090 (mental health). BJP, however, followed verbatim the information NIH requested when closing out #19090. That is, BJP reported "everything."

Earlier, on 12/3/19, BJP applied to renew #19747 (on race / IQ). Here, verbatim, NIH required BJP to report any "official publications" since NIH last approved his use of the TCP data. The last NIH approval, however, was for #19090 on 9/23/19. Since Lasker et al. was published prior to this date (i.e., 8/30/19), BJP appropriately did not report it for the renewal of #19747.

Earlier still, on 8/29/19, BJP applied to renew #19090 (mental health), which NIH approved on 9/23/19. Because this was a renewal, BJP only had to report last year's "officially published" articles. Unknown to BJP on 8/29/19 was that the Lasker et al. paper would be "officially published" on the very next day (8/30/19). Thus, BJP's renewal application for #19090 was in complete compliance with NIH requirements. That is, on 8/29/19, there was no "official publication" to report, and so BJP did not report the Lasker et al. paper as being "officially published" here.

For the "incidents" described in (C) above, CSU determined that BJP recklessly, knowingly, or intentionally committed academic research misconduct.